



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sectors
Page 1 of 2

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility ApplicationsPATENT
APPLICATIONCOMPLETION Under
Rule 53(f)In re PATENT APPLICATION of

Inventor(s): James Robl et al.

Appln. No.: 09

Series Code ↑

685,061

Serial No. ↑

Atty.Dkt.

P

0275492

23523-0168

M#

Client Ref

Filed: October 6, 2000

Title: Embryonic or Stem-Like Cell Lines Produced by Cross Species Nuclear Transplantation and Methods for Enhancing Embryonic Development by Genetic Alteration of Donor Cells or by Tissue Culture Collections

Attn: Application Division

Hon. Commissioner of Patents
Washington, DC 20231

Date: March 20, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** copy attached not yet received
2. Signed Declaration attached. Original Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and
"X" box 2A only if top box of the Declaration is X'd and file application copy, or
"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. Specification originally filed in non-English language; hence verified translation attached of:
 - a. Abstract
 - b. # pages of Specification(only spec. & claims)
 - c. Drawing(s) _____
No of Sheets _____
4. Letter filing formal drawing attached.
5. Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 09/260,468	2 March 1999	(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____

8.

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	



9. _____ (No.) Certified copy (copies): attached; previously filed (date) filed on _____ / _____

10. Small Entity Status is Not claimed is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. Attached:

12. Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

			Large/Small Entity		Fee Code
13. Basic Filing Fee	Design Application Not Design Application		\$320/\$160 \$710/\$355	+0	106/26 101/201
14. Total Effective Claims	minus 20 =		x \$18/\$9	+0	103/203
15. Independent Claims	minus 3 =		x \$80/\$40	+0	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)			\$270/\$135	+0	104/204
17. Surcharge for filing Declaration/filing fee late			\$130/\$65	+65	105/205
18.	FILING FEE ENCLOSED =			\$65	
19. Original due date:	January 20, 2001				
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) (2mos) (3mos) (4mos)		\$110/\$55 = \$390/\$195 = \$890/\$445 = \$1390/\$695 =	+195	115/215 116/216 117/217 118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee			\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee.			\$40	+0	581
23. Petition Fee for			\$130	+0	
24.	TOTAL FEE ENCLOSED =			\$260	

Our Deposit Account No. 03-3975

Our Order No. 015837

0275492

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
Atty/Sec: BDW/kmh

By Atty: Bonnie D. Weiss

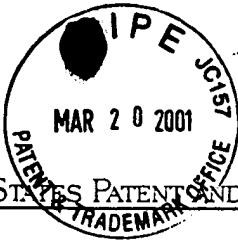
Reg. No. 43,255

Sig: Bonnie D. Weiss

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NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/685,061	10/06/2000	James Robl	23523-0168

RECEIVED

FORMALITIES LETTER

SHAW PITTMAN
 Attn: Technology/Patents
 2300 N Street, N.W.
 Washington, DC 20037-1128

PILLSBURY MADISON & SUTRO LLP



OC000000005568627

DEC 11 2000

CL# MT# 275492
 ATTY(S) RXT/B DW
 DUE: 11/20/01
 DKT BY (1) ms (2)

Date Mailed: 11/20/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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65.00 OP

